**Transforming Government 2012, Brunel Business School** 

# Revisiting the objectives of ICT for governance and policy modelling *and future visions*



eGovPoliNet

The Policy Community

9 May 2012

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#### Agenda

- 1. Purpose of the exercise
- 2. EU2020, Digital Agenda for Europe
- 3. eGovernment Action Plan 2015
- 4. Horizon 2020
- 5. Mapping projects and gaps
- 6. Brainstorming recommendations

#### **Purpose of the exercise**

Overall theme: ICT for governance and policy modelling:

...public sector, services, cross-border services....

1. What should be the agenda for the next 5-10 years?

...in light of EU2020, DAE and H2020

...need longer term plan

2. What are the building blocks to get there?

3.Some building blocks may already be fully in place, or started but not yet strong enough

...thus, review first 2 calls (2009 and 2011), in order to know what's been achieved so far

4. What are the building blocks which need to be started from scratch?

5.What should be in the last FP7 call (July 2012) for 2013 to start going in right direction?

### Europe 2020 Strategy (2010)

#### **Broad vision of Europe's social market economy to 2020** *to emerge from the crisis stronger*

- **Smart growth**: education, innovation & digital society
- **Sustainable growth**: resource efficient, green & competitive economy
- Inclusive growth: high employment with socio-economic & territorial cohesion, new skills & jobs plus action against poverty

#### Seven flagships:

- 1. Innovation Union
- 2. Youth on the move
- 3. Digital Agenda Europe
- 4. Resource efficient Europe
- 5. Industrial policy for the globalisation era
- 6. Agenda for new skills and jobs
- 7. European platform against poverty

EUROPE 2020 A European strategy for smart, sustainable and inclusive growth

# **Digital Agenda Europe (2010)**

**DAE** sets out to define the key enabling role that the use of ICT will have to play if Europe wants to succeed in its three growth areas by 2020

#### **Eight Action Areas:**

- 1. A vibrant digital single market
- 2. Interoperability and standards
- 3. Trust and security
- 4. Fast and ultra fast internet access
- 5. Research and Innovation
- 6. Enhancing digital literacy, skills and inclusion
- 7. ICT-enabled benefits for EU society
- 8. International aspects of the Digital Agenda



# Malmö eGovernment Ministerial Declaration 2009

#### Joint Vision and Policy Priorities for Action Plan 2011-2015

#### **Political priorities:**

- empowering citizens and businesses
- mobility in the Single Market
- efficiency and effectiveness



• appropriate key enablers and legal and technical pre-conditions



## DA going local Citadel Statement (2010)

#### **DAE going local**

- very little on local/regional in DAE
- but "if the DA doesn't go local then it fails" (Workshop June 2011)

#### **Citadel Statement: making Malmö real**

"Nearly one year on the Malmö Declaration is still not being translated down to the on-the-ground local level. Smaller communities are especially finding it difficult to implement innovation ICT projects.....why is this?....need practical solutions to combat barriers at local level"





#### Horizon 2020

#### The EU Framework Programme for Research and Innovation

- 2014-2020
- €80 billion budget



#### **Three Key Priorities**

- 1. Excellent science
- 2. Industrial leadership ...including in ICT
- 3. Societal challenges

...health, food security, energy, transport, climate action & resource efficiency, plus inclusive, innovation & secure societies

# Mapping projects & gaps (1)

2009: Quoting objectives in call 7, priority 5.6 - ICT solutions for work programme of call 7 in FP 7):

- development of advanced ICT tools for policy modeling, development of new governance models and collaborati
- innovative ICT solutions (including open source solutions) following:
  - Modeling new policy initiatives taking into account
  - Performing societal simulations to forecast poter measures.
  - Development of tools that identify emerging soc 0 environment using innovative approaches such reflexivity.
  - Modeling and validating the next generation of p systems, particularly taking into account the nee
- advancing research in simulation and visualization techn mixed reality technologies while building on Web2.0/We and dynamics methodology techniques.
- Resulting tools should exploit the vast reserves of Europ knowledge resources and should build on lessons learnt including those at urban or regional scale.
- Examples of fields of application should address areas w public consultations has been recognized as valuable. St administrations and policy institutes are expected to pla

2011: Quoting objectives in call 4, priority 7.3 ICT for Governance and Policy Modelling (see work

a) Governance and Participation Toolbox

- Advanced tools embodying structural, organizational and new governance models to empower and engage all types of societal groups and communities,
  - enable them to utilize mass cooperation platforms and
- allow governments to incorporate their input while safeguarding against misuse. Tools enabling the creation, learning, sharing and tracking of group knowledge that cuts across
- Tools facilitating transparency and tracking of inputs to the policy making process Toolbox must include security, identity and access controls to ensure privacy and, where appropriate, the delineation of constituency domains according to the specific needs of
- b) Policy Modeling, Simulation and Visualization
  - Real-time opinion visualization and simulation solutions based on modeling, simulation,
  - visualization and mixed reality technologies, data and opinion mining, filtering and aggregation. Novel instruments which allow consideration of options based on the simulated behaviour and wishes of individuals, groups or communities (at local, regional and national levels) to
  - understand the possible outcomes of government proposals, decisions and legislation. Tools and techniques help to understand, model, simulate and validate the next generation of public services as complex service systems in the environment of social networking and
- collaborative society, including the needs of the younger generation.
- Advanced tools and technologies to perform societal simulations integrating all possible

- variables, parameters, interferences, scenarios necessary to forecast potential outcomes and
- Tools should exploit the vast reserves of Europe's public sector collective data and knowledge resources which are also developing dynamically. Underlying functions to be integrated include translation, process modeling, data mining, pattern recognition and visualization as well as other
- gaming-based simulation, forecasting and back-casting as well as goal-based optimization
- Solutions to take into account, but not be limited to, state of the art techniques on dynamics
- methodology to analyse and model complex systems, cooperative vs. competitive systems, and "cloud" computing applications resources for large scale data analysis. Expected Impact
  - Improved empowerment and engagement of individuals, groups and communities in policy making processes. Increased trust of the citizens through transparency and feedback of their
  - More efficient collection of feedback to continuously improve governance. Improved prediction of impacts of policy measures, with increased contribution and involvement of individuals and communities, and based on intelligent and optimised use of vast public sector knowledge
  - Strengthened competitive position of European industry in the fields of cooperation platforms,

### Mapping projects & gaps (2)

|            |                                      |  |   |      |      | 2009 2011 |                      |        |         |          |        |       |          |       |         |          |        |       |             |          |
|------------|--------------------------------------|--|---|------|------|-----------|----------------------|--------|---------|----------|--------|-------|----------|-------|---------|----------|--------|-------|-------------|----------|
|            |                                      |  |   | 2009 | 2001 | +spaces   | Cockpit              | Impact | осоромо | Padgets, | logiqn | WeGox | CRISIS   | FUPOL | Abolicx | Live+Gov | NOSIPS | NOMAD | UniteEurope | uthanAPI |
|            |                                      | (2011)                                   | Empower stakeholder groups  |      |      | <b>~</b>  | 1                    | 1      | 1       | 1        | 1      |       | ✓        | ✓     | 1       | 1        | ✓      | 1     |             | <b>√</b> |
|            |                                      | (new) Mass collaboration platforms       |   |      |      |           | <ul> <li></li> </ul> |        | 3       | ×        | <      |       |          |       |         |          |        |       |             |          |
|            |                                      | models                                   | Collaborative solving of complex<br>societal problems   |      |      |           |                      |        |         | <        |        |       |          |       |         |          |        |       |             |          |
|            | Gover:<br>nance                      |  | tools for stakeholders and government<br>for data & knowledge (creation (cross-<br>borders), multi-lingual, multi-culture<br>sharing, learning, tracking) |      |      | ~         | ~                    | <      | <       | *        | <      | ~     |          | <     | <       | ~        | <      | <     | ٨.          | *        |
| ន          |                                      |  | tools to support transparency, tracking<br>of inputs to policy modelling  |      |      | ~         | 1                    | ~      | <       | ~        | <      |       |          | <     | <       |          | ~      | <     |             |          |
| Objectives |                                      |  | Tools for security, identity, access to<br>ensure privacy, delineation of<br>constituency domains   |      |      | ~         |                      |        |         |          | <      |       |          |       |         | ~        |        |       |             |          |
|            |                                      | 1) Opinion                               | mining, mapping, simulation,<br>aggregation, visualisation  |      |      | ~         |                      | ~      | <       | ~        | <      | ~     | <b>~</b> | ~     | <       |          | ~      | <     | ~           | ✓        |
|            | Policy<br>modelling,<br>visualisatio | 2)<br>simulation/mod<br>elling of policy | instruments (methods, tools, theories)<br>for impact on groups, options,<br>behaviour (micro-level)   |      |      | ~         |                      | ~      | ~       | ~        | ~      |       | ~        | <     | <       |          | ~      | <     | ~           | ~        |
|            | n and<br>simulation                  | options / new<br>policy options          | tools for overall societal simulations of<br>outcomes (macro-level)   |      |      | ~         |                      |        | ~       | ~        |        |       | ~        |       | ~       |          |        | ~     |             |          |
|            |                                      | 3) tools and                             | Social networking   |      |      | <b>√</b>  | 1                    |        |         | 1        | <      | 1     |          |       |         |          |        |       |             |          |
|            |                                      | models for                               | Collaborative society   |      |      |           | 1                    |        |         |          |        |       |          |       |         |          |        |       |             |          |

# Mapping projects & gaps (3)

|   |   |      |      |                      |         |        | 200     | Э        |          |              |        |       |                 | 20       | 011    |   |             |   |
|---|---|------|------|----------------------|---------|--------|---------|----------|----------|--------------|--------|-------|-----------------|----------|--------|---|-------------|---|
|   |   | 2009 | 2001 | +spaces              | Cockpit | Impact | осоромо | Padgets, | UbiPol   | WeGox        | CRISIS | FUPOL | <b>e</b> Policy | Live+Gov | MOSIPS | NOMAD   | UniteEurope | utbanAPJ  |
| 3) tools and  | Social networking   |      |      | 1                    | 1       |        |         | <b>~</b> | <b>√</b> | <b>√</b>     |        |       |                 |          |        |   |             |   |
| models for  | Collaborative society   |      |      |                      | ~       |        |         |          |          |              |        |       |                 |          |        |   |             |   |
| public services<br>as complex<br>systems  | youth   |      |      |                      |         |        |         |          |          |              |        |       |                 | ~        |        |   |             |   |
| 4) tools for  | Translation   |      |      |                      |         |        |         |          |          |              |        |       |                 |          | 1      | ✓   |             |   |
| exploiting public   | Modelling   |      |      | <ul> <li></li> </ul> |         | ✓      | -       |          | ~        |              | ×      | ~     | ~               |          | 1      | <ul> <li>Image: A start of the start of</li></ul> | ×           | <ul> <li>Image: A set of the set of the</li></ul> |
| sector data and   | Mining  |      |      | ✓                    | ✓       |        |         | 1        | ✓        | ✓            | ✓      | 1     |                 |          | 1      | ✓   | 1           | ✓   |
| knowledge   | Gaming  |      |      |                      |         |        |         |          |          |              | ✓      |       | 1               |          |        |   |             |   |
| 5) modelling  | Dynamics  |      |      |                      |         |        |         |          |          |              | ✓      |       |                 |          |        |   |             |   |
| complex   | Large scale data analysis   |      |      | ✓                    |         |        |         | ~        | ~        | $\checkmark$ | ~      | ~     |                 |          |        |   |             | L   |
| systems   | Cloud   |      |      |                      |         |        |         |          |          |              |        | ~     |                 |          |        |   |             | <u> </u>  |
|   | Cooperative vs. competitive   |      |      |                      |         |        |         |          |          |              |        |       |                 |          |        |   |             | <u> </u>  |
| 6) identifying  | Input from 1 and 4 (2009)   |      |      |                      |         |        |         |          |          |              |        |       |                 |          |        |   |             | L   |
| emerging<br>societal trends   | Using 5 from 2009 as instrument   |      |      |                      |         |        |         |          |          |              | ✓      |       |                 |          |        |   |             |   |
| 7) merging (1)<br>opinions and (4)<br>data &<br>knowledge and<br>using (5)<br>instruments | advanced simulation and visualisation<br>techniques and tools   |      |      | ~                    |         | ~      | >       | >        |          | ~            | <      | *     | >               |          | *      |   |             |   |
| 8) stakeholders   | policy institutes, public<br>administrations,   |      |      | ~                    | 1       | ~      | 1       | ~        | ~        | ~            | ~      | ~     | ~               | ~        | •      | ~   | ~           | ~   |
| 9) application<br>fields involving<br>public<br>consultations                             | examples of fields of application,<br>where public consultation of citizens<br>has been perceived as valuable |      |      | ~                    | ~       |        | ~       |          |          | ~            | *      | ~     | ~               |          | ~      | ~   | ~           | *   |

# Mapping projects & gaps (4)

|             |                      |  |   |      |      |         |         |        | 200     | 9       |          |       |        |          |         | 20       | )11    |          |   |          |
|-------------|----------------------|--|---|------|------|---------|---------|--------|---------|---------|----------|-------|--------|----------|---------|----------|--------|----------|---|----------|
|             |                      |  |   | 2009 | 2001 | +spaces | Cockpit | Impact | осоромо | Padgets | UbiPol   | WeGow | CRISIS | FUPOL    | ePolicy | Live+Gov | SAISOW | NOMAD    | UniteEurope   | urbanAPI |
|             |                      | empowering &<br>engaging                           | empowering & engaging stakeholders<br>in policy making        |      |      | ~       | ✓       | ~      | 1       | ~       | ✓        |       |        | ✓        | ✓       |          | ~      | ✓        | ~   | ✓        |
|             | 1)                   | stakeholders in                                    | Increasing trust  |      |      | 1       | ~       | -      | ~       | 1       | <b>√</b> | _     |        | <b>√</b> |         |          |        | <b>√</b> | ~   | _        |
|             |                      | policy making                                      | All stakeholders  |      |      | 1       | ✓       |        | ✓       | ✓       | ✓        |       |        |          |         |          |        |          |   |          |
|             | 2)                   | more efficient                                     | public sector governance                                      |      |      | ✓       | ✓       | 1      | ✓       | ✓       | ✓        |       | ✓      |          |         | ✓        |        | ✓        | ✓   | ✓        |
| cts         |                      | collection of                                      | using data & knowledge  |      |      | ✓       | ✓       | ✓      | ✓       | ✓       | ✓        | <     | ✓      | ✓        | ✓       |          | ✓      |          | ✓   | ✓        |
| Impacts     |                      | feedback for<br>governance                         | Using stakeholder input                                       |      |      | ~       | ✓       | ~      | 1       | ~       | ~        | ~     | ✓      | ✓        | ✓       | ~        | ✓      |          | ~   | ~        |
|             |                      | strengthening                                      | instruments as cooperation platforms                          |      |      | ✓       | <       | <      | 1       | 1       | ✓        |       | <      |          |         |          | <      |          | <   | ✓        |
|             | 3)                   | competitive<br>position of<br>European<br>industry | instruments for optimisation,<br>visualisation and simulation |      |      |         |         |        |         | ~       |          |       | ~      |          |         |          | ~      | ~        |   |          |
|             | Theories             |  | explaining phenomena  |      |      |         |         |        | ✓       |         |          |       |        |          | ✓       |          |        | ✓        |   |          |
|             | Methods              |  | procedural aspects and guidelines                             |      |      |         | ✓       |        | ✓       |         |          |       | ✓      | ✓        | ✓       |          |        | ✓        | ~   | ✓        |
| ţ           |                      |  | Tools incl. HW, SW, solutions                                 |      |      | ✓       | ✓       | ✓      | ~       | ✓       | ✓        | ✓     | ✓      | ✓        | ✓       | ✓        | ✓      | ✓        | ✓   | ✓        |
| ler         | ICT                  | technologies and languages                         |   |      |      |         |         | ✓      |         |         |          | ✓     |        |          | ✓       | ✓        | ✓      |          | ✓   |          |
| 12          |                      |  | Devices/channels  |      |      |         | ✓       | ✓      | ✓       | ✓       | ✓        | ✓     |        | ✓        | ✓       | ✓        | ✓      |          | <ul> <li>Image: A start of the start of</li></ul> | ✓        |
| Instruments | Models               | Models Meta models                                 |   |      |      | ✓       |         |        | ✓       |         |          | ✓     | ✓      |          | ✓       |          |        | ~        | ~   | ✓        |
|             |                      | Domain models                                      |   |      |      | ✓       |         |        | ~       |         |          | ✓     | ~      |          | ✓       |          |        |          | ~   | ✓        |
|             | Domains /<br>sectors | Health, ed   | ucation, transportation, energy, financial<br>markets, care   |      |      | ~       |         |        | ✓       |         |          |       | ✓      | ✓        | ✓       |          | •      | ✓        | ✓   | ✓        |

#### Mapping projects & gaps (5)

|                             |      |      |         | 2009 201 |        |         |         |        |       |        |       |         | )11       | 1      |       |             |            |  |
|-----------------------------|------|------|---------|----------|--------|---------|---------|--------|-------|--------|-------|---------|-----------|--------|-------|-------------|------------|--|
|                             | 2009 | 2001 | +spaces | Cockpit  | Impact | осоромо | Padgets | UbiPol | WeGov | CRISIS | FUPOL | ePolicy | Live+Gov. | MOSIPS | NOMAD | UniteEurope | Ideuration |  |
| Academia                    |      |      |         | 3        | 3      | 5       |         |        | 4     |        |       |         |           |        |       |             |            |  |
| Public sector               |      |      |         | 3        |        | 2       |         |        |       |        |       |         |           |        |       |             |            |  |
| SMEs                        |      |      |         |          | 2      | 3       |         |        | 1     |        |       |         |           |        |       |             |            |  |
| Large Industry              |      |      |         | 5        | 1      |         |         |        | 1     |        |       |         |           |        |       |             |            |  |
| International Organisations |      |      |         |          |        |         |         |        |       |        |       |         |           |        |       |             |            |  |
| Citizens                    |      |      |         |          |        |         |         |        |       |        |       |         |           |        |       |             |            |  |
| Civil society groups        |      |      |         |          |        |         |         |        | 1     |        |       |         |           |        |       |             |            |  |

# **Brainstorming recommendations**

#### Provisional gaps identified:

- Collaborative solving of complex societal problems
- Tools for security, identity, access to ensure privacy, delineation of constituency domains
- Collaborative society
- Youth
- Translation
- Gaming
- Dynamics
- Cloud
- Cooperative vs. competitive
- Input from 1 (opinion) and 4 (tools for exploiting public sector data & knowledge) from 2009
- Using 5 from 2009 as instrument

#### Other (extremely tentative) ideas:

- Bring together macro and micro simulation
- Cross-domain/sector transfer
- Scaling national-regional-local
- Social media/discussion feeding to policy
- Crowdsourcing for domain concepts/tools
- Impact of policy modeling on final decisions
- Digital / non-digital interface
- Capacity /skill of policy operators / makers
- Semantic web searching / analysis, tagging (Web 3.0)
- IoT, open data: sources, quality, accountability, etc.
- Not modeling only policy but also service modeling
- Accompanying research and rolling out Large Scale Pilots (cross-border), supporting success or not (technology and legal change on organizational change)
- Social media business case, etc. just an extra channel or a necessary service
- Smart cities
- Etc.

# 1) A vibrant digital single market

Reasons for not buying online (% of individuals that have not ordered online during last year), 2009 Products, I have no need services I prefer to shop in person, like to see product, loyalty to shops, force of habit Content, Payment security concerns IPR Privacy concerns Cross border Trust concerns Trust and lack of skills confidence Relevant information about goods and services difficult to find on website Don't have a payment card allowing to pay over the Internet delivery of goods ordered over the Internet is a problem Speed of the Internet connection is too slow Others 0% 10% 20% 30% 40% 50% 60% 70%

### 2) Interoperability and standards

- Technical, semantic, organisational, legal, etc. interoperability
- (Open) standards
- EIF for cross-border services: adopted in Dec 2010, to be applied in all MS by 2013
- Cross-border and Single Market: ongoing large Scale Pilots (STORK, PEPPOL, epSOS, SPOCS and e-CODEX)

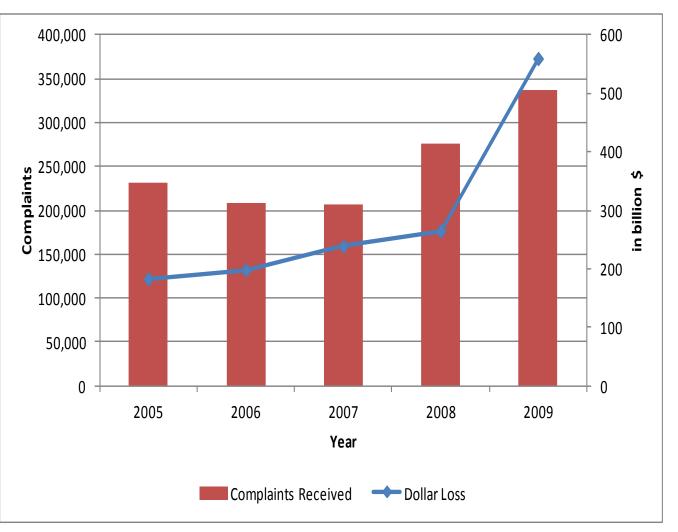
Percentage of countries having a **national** 'key enablers' policy and underlying elements in place (Source: NSA questionnaire 2007 and 2009))

| National policy area | 2007 | 2009 | Difference |
|----------------------|------|------|------------|
| General              | 92%  | 90%  | - 2%       |
| eIDM                 | n/a  | 100% | n/a        |
| eDocument            | 57%  | 73%  | + 16%      |
| Open standards       | 71%  | 93%  | + 22%      |
| Interoperability     | 78%  | 100% | + 22%      |
| Open source          | 53%  | 78%  | + 25%      |
| eSignature           | n/a  | 96%  | n/a        |

# 3) Trust and security

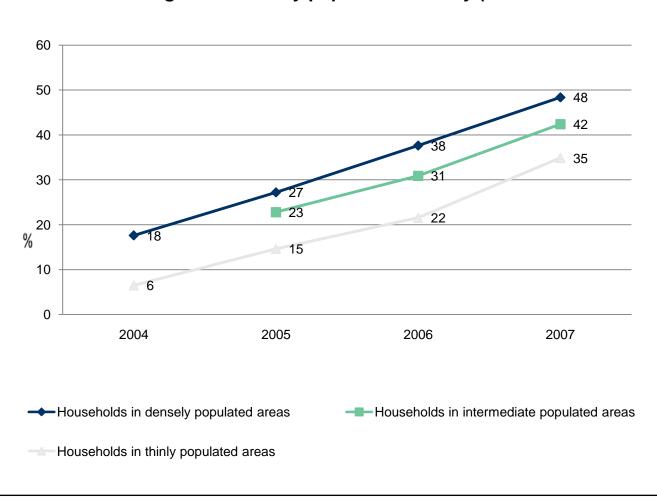
- 'Big brother' & 'cyber wild-west'?
- Network security
- Cyber security, cyber crime
- ENISA European Network Information Security Agency
- Computer Emergency Response Team (CERTs)
- Possible European Cybercrime Centre

#### Online crime complaints and dollar loss in the US



#### 4) Fast and ultra fast internet access

- Aim: universal BB coverage
- Deployment of NGA networks
- Open and neutral Internet
- Spectrum policy
- Both public and private funding
- Full use of SF and ERDF funding

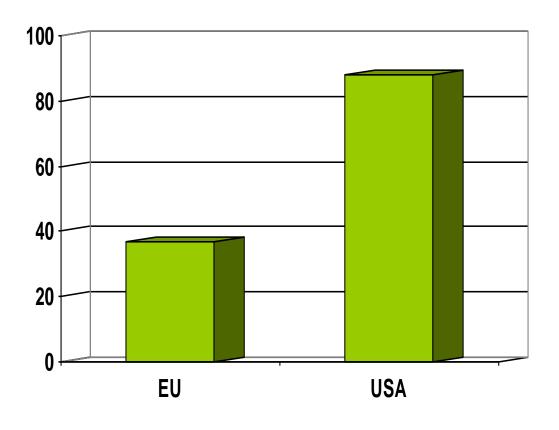


Households using broadband by population density (EU25

### 5) Research and Innovation

- ICT sector directly responsible for 5% EU GDP, but contributes up to 50% of productivity growth
- Europe's R&I lagging other global regions
- "Threat to entire European manufacturing and service sectors"
- R&I for Single Market
- Industry-led open innovation
- Need to coordinate and pool regional/ MS/EU R&I

#### Total ICT R&D spending in billion € (2007)



# 6) Enhancing digital literacy, skills and inclusion

- Aim: empowerment, cohesion
- New skills, new jobs
- 150 m (30%)
   Europeans never used Internet
- Different skills:
  - ICT practitioner
  - eBusiness
  - ICT professional
- Disadvantaged groups: elderly, youth, ethnic/cultural, disabled – 48% never used Internet
- Target: "every European digital by 2015"



#### Disadvantaged

by poor education, disability, pover ty, poor housing, no job...

Disad

#### Unconnected

not using ICT, despite lower costs and higher availability

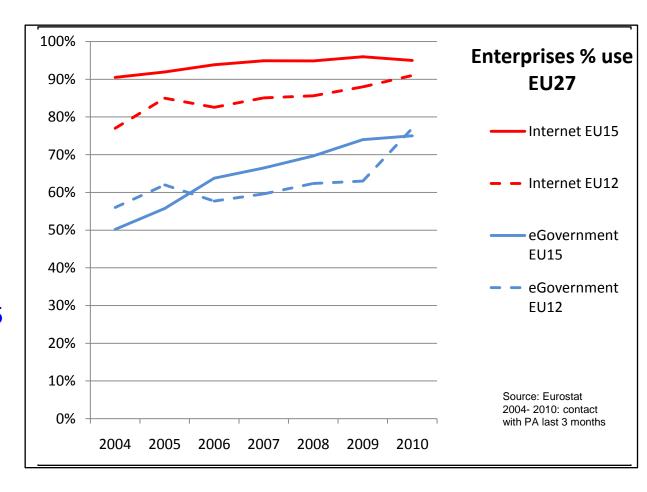
ected

the two groups strongly overlap, so are doubly excluded from the public services they need...

# 7) ICT-enabled benefits for EU society

- Smart use of ICT for societal challenges
  - Climate change and energy
  - Ageing society
  - Youth unemployment
  - Health and care
  - Life style problems
  - Cultural diversity
- eGovernment, incl. Cross-border (Malmö, Action Plan)
- eCommission 2011-2015
- eProcurement
  - could save 5% of total GDP, but only 5% potential used
  - can be used to drive policy
- Intelligent transport

#### Not so business eGovernment usage



# 8) International aspects of the Digital Agenda

- Europe competes and cooperates
- International competitiveness
- International trade
- Is losing ground in many areas (e.g. eGovernment) but still leads in others
- Cooperation needed on Internet governance, cyber security
- NEW: Open Government Partnership (so far onæy Norway and UK from Europe)
- WHY NOT: Open City Partnership ??

| UN eGovernment online services |      |                   |  |  |  |  |  |  |  |  |
|--------------------------------|------|-------------------|--|--|--|--|--|--|--|--|
| 2008                           | Rank | 2010              |  |  |  |  |  |  |  |  |
| Denmark                        | 1    | Republic of Korea |  |  |  |  |  |  |  |  |
| Sweden                         | 2    | United States     |  |  |  |  |  |  |  |  |
| United States                  | 3    | Canada            |  |  |  |  |  |  |  |  |
| Norway                         | 4    | United Kingdom    |  |  |  |  |  |  |  |  |
| France                         | 5    | Spain             |  |  |  |  |  |  |  |  |
| Republic of Korea              | 5    | Australia         |  |  |  |  |  |  |  |  |
| Netherlands                    | 7    | Norway            |  |  |  |  |  |  |  |  |
| Canada                         | 8    | Bahrain           |  |  |  |  |  |  |  |  |
| Australia                      | 9    | Colombia          |  |  |  |  |  |  |  |  |
| Japan                          | 10   | Singapore         |  |  |  |  |  |  |  |  |

