

A Collaborative Approach to Study Policy Modelling Research and Practice from Different Disciplines

Dragana Majstorovic and Maria A. Wimmer

University of Koblenz-Landau, Institute for IS research, Germany {majstorovic | wimmer}@uni-koblenz.de

IFIP EGOV 2014, 3 September 2014, Dublin, Ireland



Agenda



- eGovPoliNet facts and mission statement
- Setting grounds
- Assessing collaborations across disciplines
- Insights from assessment



eGovPoliNet facts



eGovPoliNet | Grounds | Assessing collaborations | Insights

- Co-funded by the European Commission within FP 7, international support action
- Main objective: Building an international multidisciplinary policy community facilitated with innovative ICT solutions for policy modelling and public governance
- Duration: 08/2011 02/2015
- Consortium: 17 partners from 14 countries:
 - Canada, Australia, Macao, New Zealand, Russian Federation, Ukraine, USA
 - EU: Belgium, German, Greece, Italy, Netherlands, Slovakia, UK
- Project website at http://www.policy-community.eu/





eGovPoliNet mission statement and value propositions

eGovPoliNet | Grounds | Comparative analysis | Quantitative | Conclusions

Mission statement:

"Our mission is to be the recognised leader in bringing together researchers from different disciplines to share knowledge, expertise and best practice supporting policy analysis, modelling and governance"





Policy modelling – setting grounds



- Policy modelling is "supported by the use of different theories as well as quantitative or qualitative models and techniques to analytically evaluate the past (causes) and future (effects) of any policy on society, anywhere and anytime" (Estrada, 2011)
 - Complexity
- Multidisciplinary nature of the field
 - Contributions necessary from various disciplines such as political, economic, social, technical disciplines
- Problem: existing fragmentation across different research disciplines in policy modelling
 - Disciplines develop theories, concepts and solutions almost independently from each other



Need for collaboration across disciplines in policy modelling

The Policy Community
www.policy-community.eu

eGovPoliNet

- Multidisciplinary approaches needed where researchers from different disciplines collaborate to study the approaches toward policy modelling
 - Developing a common understanding out of single disciplinary fields
 - > Sharing best practices and experiences
 - Bring forward joint solutions that incorporate aspects at focus in single disciplines, including the use of innovative ICT solutions
 - Driving the evolution in the field co-jointly







eGovPoliNet's contributions towards collaboration across disciplines

- Community building activities
 - **>** ...
- Developing a knowledge base
 - Glossary of common understanding
 - Comparative analyses of relevant research themes across disciplines
 - > Future scenarios and grand challenges of research
- This research investigates the success of the multidisciplinary collaborations along comparative analyses in the project



eGovPoliNet's approach to a comparative analysis

eGovPoliNet

The Policy Community www.policy-community.eu

- Investigate, structure, compare and formalise existing concepts, approaches and solutions, including ICT support, in the field of policy modelling
- Based on a structured multi-criteria approach of comparison
 - ➤ A set of criteria for evaluating and comparing knowledge assets in the relevant themes
 - General metadata and particular conceptual aspects
 - > Each deriving recommendations for policy modelling research and practice
- Selection of nine relevant themes up till now



Comparative analysis performed so far



eGovPoliNet | Grounds | Comparative analysis | Conclusions

- Nine comparative analyses developed as white papers
 - Theories of policy modelling
 - Modelling frameworks
 - Simulation models of different modelling methods
 - Conceptual and domain models
 - Emerging tools and technologies
 - Technical frameworks and tools
 - Policies and programs framing policy making
 - Projects / cases implementing policy
 - Stakeholder engagement in policy development

^{*} These white papers have matured to become book chapters



Assessing collaboration across disciplines in comparative analysis



eGovPoliNet | Grounds | Comparative analysis | Conclusions

- Assessing research collaborations along the following parameters
 - Indicators for co-authors of papers
 - Professions of team members (researchers, students, practitioners)
 - Disciplinary background of the authors
 - Geographical spread of the institutions involved
 - Organisational setup of collaborations in comparative analysis



Indicators for co-authors of papers



eGovPoliNet | Grounds | Comparative analysis | Conclusions

- P (total number of papers): 9
- N (total number of authors): 27
- Collaborative index CI (mean number of authors per comparative analysis): 3.9
- Degree of collaboration DC (a proportion of multi-authored and single-authored papers): 0.78 (0 = all papers single-authored; 1 = all papers multi-authored)
- Modified collaboration coefficient MCC: 0.6 (0 = only single-authored papers, 1 = all authors co-authoring all papers)

$$MCC = \frac{N}{N-1} * \left(1 - \frac{\sum_{j=1}^{N} \frac{1}{j} * f_j}{P} \right)$$

 f_i is number of papers having j authors

[for indicators see ...]

Disciplinary background of the authors

eGovPoliNet
The Policy Community
www.policy-community.eu

eGovPoliNet | Grounds | Comparative analysis | Conclusions

Paper number	1	2	3	4	5	6	7	8	9	
Total of authors										
per paper	1	3	5	4	7	2	1	5	7	35
Disciplines										No of people per discipline*
Information	1		1	4	6			4	1	
Systems	1		1	4				4	1	13
Computer science		1	1		1	1				3
Social sciences			1						1	2
Sociology			2							2
e-government & e-		3	1	3	6	2		1	6	
participation		3	1	3		2		1	0	15
Public										
administration				arguing					2	
sciences			i.e. af	filiated w	rith differ	ent disci	plines			2
Economics								1		1
Organisational										
and management		2				1	1		2	
sciences										3

* multiple instances possible



Geographical spread of the institutions involved

eGovPoliNet

The Policy Community www.policy-community.eu

eGovPoliNet | Grounds | Comparative analysis | Conclusions

Institutions involved: 13

Rename Discipline to "Institutions involved

Countries involved: 11

Europe: 7

North

America: 2

> Asia: 1

Oceania: 1

Country	Dissipling		Performed comparative analysis								
Country	Discipline		2	3	4	5	6	7	8	9	
Germany	E-Government Research Group in a Faculty of Computer Science Technology Assessment Institute										
Slovakia	Economics Faculty										
The Netherlands	Technology and Policy Management Faculty										
Greece	Technology Management Group										
United	Information Systems School										
Kingdom	ICT industry (SME)										
Belgium	Public Policy Institute										
Ireland	Data Analytics Group										
Canada	Information Systems Institute										
USA	Technology in Government Centre										
New Zealand	Social Sciences and Sociology Centre										
China	Information Systems and E-Government Institute										

Insights from the assessment of collaborations in comparative analysis

eGovPoliNet
The Policy Community
www.policy-community.eu

eGovPoliNet | Grounds | Comparative analysis | Conclusions

- Benefits and value add of multidisciplinary collaborations
 - Sharing approaches and insights into literature across disciplines
 - > Better understanding of what is important and rigorous in a discipline
 - Increased awareness that a multidisciplinary approach helps to overcoming the current fragmentation in the field of policy modelling
- Challenges of multidisciplinary collaborations
 - Difficulties in meeting at the same time due to different time zones and different scheduling of conferences across different communities
 - Reaching a common understanding of key terms and concepts
 - Differences in cultures of carrying out research due to different disciplines and differences across global regions (e.g. European vs. "Russian" cultures)
 - Willingness of individuals to accept different approaches and understandings from other disciplines



www.policy-community.eu

Thank you for your attention!

{majstorovic | wimmer}@uni-koblenz.de











UNU-IIST International Institute for Software Technology









Institut Technologies de l'information et Sociétés

















Γ∪Delft

Technische Universiteit Delft







Comparative analysis



Reflected lessons learned and basic principles					
Comparative analysis of theories	Combinations of theories can contribute the most benefits for the research and provide a compensation for the shortcomings of individual theories				
Comparative analysis of frameworks	A rising need to develop categorisation criteria to classify frameworks for policy modelling				
Comparative analysis of simulation models	Combination of different simulation modelling theories is a necessary next step in the evolution of simulation modelling				
Comparative analysis of conceptual and domain models	Research on domain and conceptual models is immature and requires further investigation				
Comparative analysis of stakeholder engagement	Necessary to match selection of stakeholders and engagement methods to the goals of policy process				
	•••				

